



CATHERINE CORTEZ MASTO  
ATTORNEY GENERAL

STATE OF NEVADA

**NON-PARTICIPATING MANUFACTURER (NPM)  
QUARTERLY CERTIFICATE OF COMPLIANCE**

**Please type or print legibly. Any illegible certificate will be returned and deemed unfiled.**

PART 1: MANUFACTURER INFORMATION	
Company Name	
Address (Mailing and Physical)	
City/State/County	
Telephone Number	Fax Number
Email	Website
Name/Title of Person Completing Form	

PART 2: LIABILITY REPORTING PERIOD
<p><b>The liability period for this Certificate and Affidavit is: (check one below)</b></p> <p><input type="checkbox"/> <b>January 1 through March 31, 2012 – First Quarter</b> <b>Deadlines:</b> Escrow deposit to Nevada sub-account due on or before April 30, 2012 NPM Quarterly Certificate of Compliance received by Attorney General on or before May 10, 2012 Account letter submitted by bank to the Attorney General on or before May 10, 2012</p> <p><input type="checkbox"/> <b>April 1 through June 30, 2012 – Second Quarter</b> <b>Deadlines:</b> Escrow deposit to Nevada sub-account due on or before July 31, 2012 NPM Quarterly Certificate of Compliance received by Attorney General on or before August 10, 2012 Account letter submitted by bank to the Attorney General on or before August 10, 2012</p> <p><input type="checkbox"/> <b>July 1 through September 30, 2012 – Third Quarter</b> <b>Deadlines:</b> Escrow deposit to Nevada sub-account due on or before October 31, 2012 NPM Quarterly Certificate of Compliance received by Attorney General on or before November 12, 2012 Account letter submitted by bank to the Attorney General on or before November 12, 2012</p> <p><input type="checkbox"/> <b>October 1 through December 31, 2012 – Fourth Quarter</b> <b>Deadlines:</b> Escrow deposit to Nevada sub-account due on or before January 31, 2013 NPM Quarterly Certificate of Compliance received by Attorney General on or before February 11, 2013 Account letter submitted by bank to the Attorney General on or before February 11, 2013</p> <p><b>Please note that the failure to submit this certificate by the above-referenced deadlines may result in the assessment of a civil penalty up to \$1,000 per day. In addition, if an NPM fails to make timely and accurate escrow payments, the Office of the Attorney General may require that NPM to post a surety bond for a period of five years as a condition of continued directory listing.</b></p>

### PART III: QUARTERLY BRAND SALES

Distributor Name		Contact Name/Title	
Distributor Address		Distributor Phone	
Brand Families Distributed	Cigarettes or RYO	Quarterly Distribution Volume <sup>1</sup>	
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		
Distributor Name		Contact Name/Title	
Distributor Address		Distributor Phone	
Brand Families Distributed	Cigarettes or RYO	Quarterly Distribution Volume	
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		
Distributor Name		Contact Name/Title	
Distributor Address		Distributor Phone	
Brand Families Distributed	Cigarettes or RYO	Quarterly Distribution Volume	
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		
Distributor Name		Contact Name/Title	
Distributor Address		Distributor Phone	
Brand Families Distributed	Cigarettes or RYO	Quarterly Distribution Volume	
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		
	<input type="checkbox"/> Cigarette <input type="checkbox"/> RYO		

<sup>1</sup> Report all distribution volumes in units sold. Pursuant to NRS 370A.120, "units sold" is defined as the number of individual cigarettes sold in the state of Nevada either directly by the manufacturer or through an intermediate distributor. For roll-your-own (RYO) tobacco, units sold can be calculated by dividing the total ounces of RYO tobacco sold by 0.09.

**PART IV: WORKSHEET FOR CIGARETTES SOLD DURING LIABILITY REPORTING PERIOD**

1. Show on Line A the total units sold by the non-participating manufacturer in Nevada during the reporting period. (Note: For RYO, divide the total number of ounces sold by 0.09 and round up to the next whole unit.)	A. _____ (units sold)
2. Line B contains the applicable rate per unit sold in 2012 (\$0.0188482), plus the inflation adjustment for 2012 (\$0.0102576).	B. <u>\$0.0291058</u>
3. Multiply Line A and B to determine the total escrow due for the liability reporting period.	C. _____

**PART V: CERTIFICATION OF ESCROW ACCOUNT AND AGREEMENT**

NOTE: The NPM certifies that it has established and continues to maintain a fully funded, qualified escrow account, pursuant to Chapters 370 and 370A of the Nevada Revised Statutes.

Name of Financial Institution (Escrow Agent) \_\_\_\_\_

Escrow Account Number	Nevada Sub-Account Number
Date of Escrow Deposit	Amount of Escrow Deposit

**PART VI: ADDITIONAL INFORMATION**

**Answer all of the following questions:**

**A. The registered agent identified on this NPM’s most recent Annual Certification submission continues to be the registered agent for this NPM:**  YES  NO

**B. The financial institution information provided on this NPM’s most recent Annual Certification remains accurate:**  YES  NO

**C. The escrow agreement provided with this NPM’s most recent Annual Certification remains in force and unchanged:**  YES  NO

**D. If the answer to A, B and/or C above is NO, explain below and provide supporting documentation:**

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**PART VII: AFFIDAVIT OF NPM**

**An authorized officer of the NPM *must* sign this form, and this form MUST be notarized.**

Under penalty of perjury, I state that the NPM named in Part I, as of the date of this certification, is a non-participating manufacturer in full compliance with all applicable sections of NRS Chapters 370 and 370A.

I am an authorized officer of the manufacturer. Through my position with the manufacturer, I am authorized to certify on behalf of the manufacturer and can legally bind the manufacturer.

I understand that the Nevada Attorney General may require additional information and/or documentation relative to the assertions made in this Quarterly Certificate of Compliance.

I have examined this Certificate, including attachments and supporting documents and, to the best of my knowledge and belief, this certification, including attachments and supporting documents, is true, correct, and complete.

By signing this affidavit on behalf of the applicant company, I understand that the company is required to comply with state and federal laws concerning the sale of tobacco products.

\_\_\_\_\_  
Name of Officer of NPM (print) Title

\_\_\_\_\_  
Signature of Officer of NPM Date

Subscribe and sworn to this date: \_\_\_\_\_

County of: \_\_\_\_\_

Signature of Notary Public: \_\_\_\_\_

Notary Commission Expires: \_\_\_\_\_

**Mail this completed Certificate of Compliance and attachments, along with the original executed and notarized Affidavit of Tobacco Product Manufacturer (Part VII), to:**

**Nevada Attorney General's Office  
Tobacco Enforcement Division  
100 North Carson Street  
Carson City, Nevada 89701  
Telephone: (775) 684-1124  
Fax: (775) 684-1275**